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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

2013 DEC -9 P 2:08

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

DEC 09 2013

DOCKETED BY

In the matter of:

DOCKET NO. S-20898A-13-0395

MICHAEL J. BLAKE (CRD# 2022161), a married
man

Respondent.

PROCEDURAL ORDER
(Schedules Pre-Hearing Conference)

BY THE COMMISSION:

On November 19, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Michael J. Blake (CRD# 202261) ("Respondent"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and the Investment Management Act ("IM Act") in connection with the offer and sale of securities in the form of membership interests. It was further alleged that Respondent had filed an application to be an investment adviser representative while subject to an order of a self-regulatory authority ("SRO"), the Financial Industry Regulatory Authority ("FINRA") suspending him for one year in all capacities from associating with a FINRA member firm.

Respondent Blake was duly served with a copy of the Notice.

On December 4, 2013, Respondent Blake filed a request for hearing in response to the Notice in this matter pursuant to A.R.S §§ 44-1972, 44-3212, and A.A.C. R14-4-306.

Accordingly, a pre-hearing conference should be scheduled.

IT IS THEREFORE ORDERED a **pre-hearing conference** shall be held on **December 23, 2013, at 10:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 1, Phoenix, Arizona.

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1 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
2 Communications) is in effect and shall remain in effect until the Commission's Decision in this
3 matter is final and non-appealable.

4 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
5 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
6 *pro hac vice*.

7 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
8 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
9 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
10 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
11 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
12 Administrative Law Judge or the Commission.

13 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
14 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
15 ruling at hearing.

16 DATED this 9TH day of December, 2013

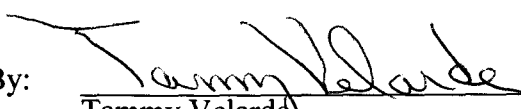
17
18 
19 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

20 Copies of the foregoing mailed/delivered
21 this 9TH day of December, 2013 to:

22 Michael Salcido
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Attorneys for Respondent Blake

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By: 
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